

## **Training & Competence Manager**

**Location:** Farnborough

**Salary:** Competitive salary, bonus and benefits

### **Job Brief**

We are looking for a Training and Competence Manager to work as part of the Compliance Department which supports FCA regulatory requirements, Risk Management & Regulatory Compliance policies. The ideal candidate will have strong communication skills with the ability to sell concepts and ideas in order to support and co-ordinate activities with the Head of Compliance and Head of HR.

### **Main Responsibilities**

- To maintain and update the T&C Scheme (TOPAS , TOPAS for Supervisors, Non regulated TOAPS, PTACS)
- To identify and communicate lessons learned early.
- To support and co-ordinate activities with the Head of Compliance and Head of HR. Where appropriate accompany the Private Client Managers in joint field visits and training
- To provide 2<sup>nd</sup> line Supervision for PP department in connection to T&C activity
- To conduct audio JCV reviews/feedback
- To review and detect issues within O-PAL arising from T&C activities that may require O-PAL to be updated
- To control and run the Competent Adviser system
- To maintain the technical knowledge necessary to fulfil the role.
- Ability to identify and report potential cases of financial crime
- To maintain records to the standards necessary to demonstrate Consultant and departmental competence

### **Candidate Requirements**

- Ability to manage and maintain company Management Information
- Microsoft Office skills with particular strength in Excel
- Investigation and assessing root causes from Management Information
- Strong communication skills with the ability to sell concepts and ideas
- Provision of clear and concise feedback
- Ability to ensure that identified remedial actions are followed through to satisfactory conclusions
- Creation of key supportive MI for the field
- Knowledge of FCA Objectives, Principles and COBS Rules
- Experience in a compliance or advisory role within an FCA-regulated retail environment. System and control maintenance and change management experience may be beneficial but not essential.
- Desirable (but not essential) to have / be working towards relevant financial services qualifications, e.g. DipPFS

## **Interview Process**

- 1<sup>st</sup> Stage: Telephone Interview with the Head of Compliance.
- 2<sup>ND</sup> Stage: Interview with the Head of Compliance and Head of HR

## **How to Apply**

To apply for this role please click on the **Apply** button below.

**APPLY NOW**

Alternatively send a covering letter and a copy of your CV to **hr@origenfs.co.uk**