

Compliance Officer

Location: Farnborough

Salary: Competitive salary, bonus and benefits

Job Brief

We are looking for a Compliance Officer to join our Compliance team to assist the Compliance Manager in forming and implementing compliance policy. This will involve helping to maximise risk mitigation across the Company by providing identification of regulatory requirements, implementing industry best practice and supporting in ensuring the optimisation of compliant profitable advice.

Main Responsibilities

- Maintain an Origen risk and compliance culture that acts as a forward radar with FCA requirements for principles based regulation and which is firmly established across all areas of the Origen business; and
- Manage the key risk and compliance relationships with the FCA and with AEGON UK, in order to ensure that Origen benefits to the fullest extent from these relationships.
- Ensure that the risk and compliance function is aligned with the strategic priorities of Origen and that the control and governance infrastructure supports the achievement of these corporate objectives; and
- Promote a Treating Customers Fairly (TCF) culture within Origen and ensure that the management information used to evidence that TCF is embedded across the business is challenged and developed.

Candidate Requirements

- Recognised professional qualifications equivalent to at least the Office of the Qualifications and Examinations Regulator (Ofqual) accredited Level 4, or be working towards this level.
- Experience in a compliance role within an FCA-regulated retail environment.
- Expert knowledge of current industry best practice in relation to the regulatory regime and the requirements and guidance issued by the FCA, JMLSG and other appropriate legislative, regulatory, governmental or industry bodies.
- Accuracy, attention to detail and the ability to ensure that identified remedial actions are followed through.
- IT literate.

Interview Process

- 1st Stage = Coffee chat/Telephone interview
- 2nd Stage = Competent Advisor Test (to be taken at home)
- 3rd Stage = Competency based interview

How to Apply

To apply for this role please click on the **Apply** button below.

APPLY NOW

Alternatively send a covering letter and a copy of your CV to hr@origenfs.co.uk